

# Investigation of Cell-Free DNA Methylation as a Biomarker of Malignant vs. Benign Lung Nodules

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## Background

- Many potentially malignant lung nodules (radiographic opacities ≤3 cm in diameter) are discovered incidentally or via screening.
- Smaller nodules lacking distinctly malignant features are classified as "indeterminate" and require repeat scans for monitoring.
- Evaluating these indeterminate lung nodules is a complex process with a high false positive rate, leading to unnecessary follow-up that may include repeat imaging or tissue biopsy.<sup>1</sup>
- Large-scale studies demonstrating that early detection of lung cancer via screening significantly reduced mortality have led to more lowdose CT screening and an increase in lung nodules being discovered (3.9 to 6.6 per 1000 going from 2008 to 2012).<sup>2</sup>

### ccfDNA and ctDNA:

- DNA circulating in the blood, called circulating cell-free DNA (ccfDNA), is found even in healthy individuals.
- In cancer patients, a fraction of ccfDNA is tumor-derived, called circulating tumor DNA (ctDNA).
- Evaluation of ccfDNA and/or ctDNA represents a non-invasive method of detecting cancer in comparison to tissue biopsy.

### **Application of ccfDNA Methylation in Lung Nodule Evaluation:**

- Methylation is a type of epigenetic modification generally associated with gene silencing. At CpG sites, a methyl group can be added to a cytosine nucleotide.
- Methylation profiling of ccfDNA may be used to distinguish malignant from benign lung nodules. For example, hypermethylation at tumor suppressor gene promoters could indicate malignancy.<sup>3</sup>
- ccfDNA is a mixture of DNA derived from a variety of cells. The percentage of DNA originating from each type of cell can be estimated by referencing the unique methylation signatures of different cell types, in a process known as deconvolution.
- The results of deconvolution could be used as another method to predict if patients have lung cancer. A hypothesis could be that the increased apoptosis and necrosis associated with lung cancer could result in a larger proportion of ccfDNA originating from the lung.

### Methods

- Blood samples were collected from patients with lung nodules (n = 10 benign, n = 14 malignant) under IRB protocol #824357.
- ccfDNA was extracted from plasma using QIAamp MinElute ccfDNA Mini Kit (#55204) and quantified with SYBR Green-based qPCR for the ALU115 amplicon.<sup>4</sup>
- 10 ng of extracted ccfDNA was converted and amplified using the NEBNext<sup>®</sup> Enzymatic Methyl-Seq Kit (#E7120).
- 500 ng of this DNA was sent for methylation profiling using the MethylationEPIC BeadChip (Infinium) 850K microarray (at University of Minnesota Genomics Center).
- Methylation data for determining signatures of reference cell types retrieved from the Genome Expression Omnibus (GEO).<sup>5,6,7,8</sup>
- Methylation data analysis performed in R with Sensible Step-wise Analysis of DNA MEthylation BeadChips (SeSAMe) package.<sup>9</sup>
- Copy number calculation from deconvolution percentages: [(ng DNA/mL plasma) \* % from cell type]/(3.3 pg/haploid genome)





samples are displayed on the heatmap.

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A. Volcano plot showing 159 differentially methylated regions (DMRs) from comparing the benign versus malignant subsets. Beta value from 0 to 1 with higher values indicating that most copies of the loci are methylated within the sample. These loci had a large enough in methylation between subsets (quantified as a difference in the beta value of at least 0.15) and an unadjusted p-value of less than 0. Horizontal line represents the p-value cutoff and vertical lines represent the  $\Delta$ beta cutoff.

**B.** A set of the differentially methylated loci that had a Δbeta of at least 0.175 and were located in named genes. 26 of these loci were hypomethylated and 31 were hypermethylated in the malignant subset compared to the benign subset.

**C.** Heatmap based on supervised clustering using the DMRs found. The set of loci among the 159 DMRs which were successfully read

D. Deconvolution results showing the genomic copies per mL of plasma coming from each of the analyzed cell types for individual sam Under Curve (AUC) and 95% confidence interval from Receiver Operator Curve (ROC) analysis, and Mann-Whitney test p-value noted \*Red point represents the outlier which may be excluded (Sample 13). This sample had a greater number of failed methylation reads at certain loci.

### **Discussion and Future Directions**

Supervised clustering using the DMRs worked fairly well to separate benign versus malignant cases, indicating that the two subsets had distinct methylation patterns at those loci. It is possible that testing for methylation at a panel of DMRs identified in this discovery set could be useful in lung nodule diagnosis.

Unadjusted p-value was used to select for DMRs instead of adjusted p-value since the sample size was not large enough for the adjusted p-value to be significant for any of the loci. Larger cohorts are necessary for statistically significant results especially since there are hundreds of thousands of loci being assessed for each patient.

• The performance of a subset of DMRs with the greatest predictive value could be tested in a larger cohort. Deconvoluted percentages and copy numbers were not predictive of diagnosis, demonstrated by the high p-values and the AUC values close to 0.5. However, the difference in average percentage and copy number for benign versus malignant subsets was greatest for lung cancer-derived ccfDNA in comparison to other cell types assessed.

	Literature Review				
	Author (Year)	Loci	Test Set (n)	AUC for Test Set (95% CI)	Summary
	Chen, et al. (2020) <sup>10</sup>	CDO1, SOX17, HOXA7	246	1: 88 (.8493)	<ul> <li>8 lung cancer-specific genes tested, best performing panel identified</li> <li>246 patients with nodules, (163 M, 83 B)</li> </ul>
0.012 Beta	Hulbert, et al. (2016) <sup>11</sup>	CDO1, SOX17, TAC1	210	.77 (.6886)	<ul> <li>Tested 6 cancer-specific genes from The Cancer Genome Atlas</li> <li>210 patients with nodules (150 stages I/IIA, 60 B)</li> </ul>
Benign Malignant	Qi, et al. (2021) <sup>12</sup>	Top 300 DMRs	97	.96 (.9697)	<ul> <li>Test utilized top 300 differentially methylated regions (DMRs) from whole genome cfMeDIP-seq</li> <li>7 patients without nodules, 23 benign nodules, 35 malignant nodules (≤3 cm), 32 tumors (&gt;3 cm)</li> </ul>
о в ша с у ша с у ша с у е и с у е и с у е с у е с о с у е с у с и с о с о с о с о с о с о с о с о с о	Wielscher, et al. (2015) <sup>13</sup>	HOXD10, PAX9, PTPRN2, STAG3	46	.85 (.7295)	<ul> <li>Illumina methylation array identified DMRs of interest, 64 passed QC and were used to generate 4-gene model in a 204- patient cohort</li> <li>4-gene model was tested in a 46-patient cohort (23 M, 23 healthy)</li> </ul>
0.671	Huang, et al. (2020) <sup>14</sup>	SHOX2, PTGER4	140, 30	.86 (.8092)	<ul> <li>Methylation of SHOX2 and PTGER4 shown to be biomarkers of lung cancer in literature</li> <li>140 patients with nodules in first set (104 M, 36 B)</li> <li>30 patients in validation set (19 M, 11 B)</li> </ul>
o 0.893	Liang, et al. (2019) <sup>15</sup>	9 DMRs	66	.82 (.7093)	<ul> <li>Tissue-derived cancer-specific methylation markers from 230 samples, 9 markers selected with training set of 66 plasma samples</li> <li>Independent test set of another 66 plasma samples (39 M, 27 B)</li> </ul>
es range difference .05.	Gao, et al. (2015) <sup>16</sup>	APC, RASSF1A	89	.81 (N/A)	<ul> <li>Tumor suppressor genes which are commonly hypermethylated in cancer patients</li> <li>89 patients with nodules (58 M, 31 B)</li> </ul>
for all nples. Area on graphs.	Vrba, et al. (2020) <sup>17</sup>	10 Marker Set	65	.96 (.91-1.0)	<ul> <li>MIR129-2, LINC01158, CCDC181, PRKCB, TBR1, ZNF781, MARCH11, VWC2, SLC9A3, HOXA7</li> <li>Subset best for NSCLC selected from 1,250 biomarkers discovered <i>in silico</i> designed to detect 10 carcinoma types</li> <li>Panel tested on 65 patients (18 M, 47 healthy)</li> </ul>

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